

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

DECLARATION

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am an original, first, and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled

LOW PROFILE RETENTION SYSTEM

the specification of which was filed with the U.S. Patent and Trademark Office on October 23, 1998 and assigned Serial No. 09/177,820.

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by an amendment, if any, specifically referred to in this declaration.

I acknowledge the duty to disclose all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.


I hereby claim foreign priority benefits under Title 35, United States Code, Section 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

None

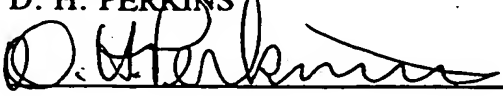
I hereby claim the benefit under Title 35, United States Code, Section 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, Section 112, I acknowledge the duty to disclose all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56, which became available between the filing date of the prior application and the national or PCT international filing date of this application:


Serial No. 09/109,101, filed July 2, 1998.

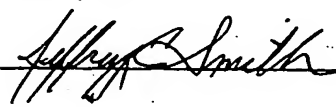
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of first joint inventor : Srinivas NISHTALA
Inventor's signature : 
Date : 12/7/98
Residence : 2115 Summerwood Court
Bloomington, IN 47401, U.S.A.
Citizenship : India
Post Office Address: : Same as above

Full name of second joint inventor : Linda ELBERT
Inventor's signature : _____
Date : _____
Residence : 8211 Lee Paul Road
Bloomington, IN 47404, U.S.A.
Citizenship : U.S.
Post Office Address: : Same as above

Full name of third joint inventor : D. H. PERKINS
Inventor's signature : 
Date : 12/8/98
Residence : 711 Whitethorn Place
Bloomington, IN 47403, U.S.A.
Citizenship : U.S.
Post Office Address: : Same as above

Full name of fourth joint inventor : Stephane GOBRON
Inventor's signature : 
Date : 12-07-98
Residence : 1350 West Dittemore Road
Gosport, IN 47433, U.S.A.
Citizenship : French
Post Office Address: : Same as above

Full name of fifth joint inventor : Jeffrey SMITH
Inventor's signature : 
Date : 12/07/98
Residence : Rural Route 1, Box 269A
Poland, IN 47868, U.S.A.
Citizenship : U.S.
Post Office Address: : Same as above

DC1-176742

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

DECLARATION

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the specification of which was filed with the U.S. Patent and Trademark Office on October 23, 1998 and assigned Serial No. 09/177,820.

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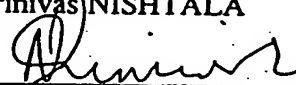
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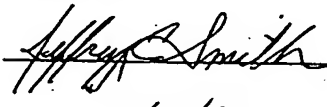
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of first joint inventor : Srinivas NISHTALA
Inventor's signature : 
Date : 12/7/98
Residence : 2115 Summerwood Court
Bloomington, IN 47401, U.S.A.
Citizenship : India
Post Office Address: : Same as above

Full name of second joint inventor : Linda ELBERT
Inventor's signature : 
Date : 12/29/98
Residence : 8211 Lee Paul Road
Bloomington, IN 47404, U.S.A.
Citizenship : U.S.
Post Office Address: : Same as above

Full name of third joint inventor : D. H. PERKINS
Inventor's signature : 
Date : 12/8/98
Residence : 711 Whitethorn Place
Bloomington, IN 47403, U.S.A.
Citizenship : U.S.
Post Office Address: : Same as above

Full name of fourth joint inventor : Stephane GOBRON
Inventor's signature : 
Date : 12-07-98
Residence : 1350 West Dittemore Road
Gosport, IN 47433, U.S.A.
Citizenship : French
Post Office Address: : Same as above

Full name of fifth joint inventor : Jeffrey SMITH
Inventor's signature : 
Date : 12/07/98
Residence : Rural Route 1, Box 269A
Poland, IN 47868, U.S.A.
Citizenship : U.S.
Post Office Address: : Same as above

DC1-176742



**UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office**

ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APRIL 13, 1999

PTAS

KENYON & KENYON
CHARLES R. BRAINARD, ESQ.
1025 CONNECTICUT AVENUE, N.W.
WASHINGTON, DC 20036



100943448A

**UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT**

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 01/07/1999

REEL/FRAME: 9684/0406

NUMBER OF PAGES: 9

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

NISHTALA, SRINIVAS

DOC DATE: 12/07/1998

ASSIGNOR:

ELBERT, LINDA

DOC DATE: 12/29/1998

ASSIGNOR:

PERKINS, D.H.

DOC DATE: 12/08/1998

ASSIGNOR:

GOBRON, STEPHANE

DOC DATE: 12/07/1998

ASSIGNOR:

SMITH, JEFFREY

DOC DATE: 12/07/1998

ASSIGNEE:

SCIMED LIFE SYSTEMS, INC.
ONE SCIMED PLACE
MAPLE GROVE, MINNESOTA 55311-1566

9684/0406 PAGE 2

SERIAL NUMBER: 09177820
PATENT NUMBER:

FILING DATE: 10/23/1998
ISSUE DATE:

SHARMALLA COATES, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

01-12-1999

FORM PTO-1595

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(Rev. 6-93)



S. ET

U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

OMB No. 0651-0011(exp. 4/94)

100943448

Y

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Srinivas Nishtala
Linda Elbert
D.H. Perkins
Stephane Gobron
Jeffrey Smith

2. Name and address of receiving party(ies):

Name: SCIMED Life Systems, Inc.
Internal Address:
Street Address: One SCIMED Place
Maple Grove, MN 55311-1566
United States of America

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ NoAdditional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: 12/7, 8, and 29, 1998

4. Application number(s) or patent number(s);

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

B. Patent No.(s)

09/177,820

Additional numbers attached: ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Charles R. Brainard, Esq.
Kenyon & Kenyon
1025 Connecticut Avenue, N.W.
Washington, DC 20036

6. Total number of applications and patents involved: 17. Total fee (37 CFR 3.41).....\$ 40.00

- ☐ Enclosed
☒ Authorized to be charged to deposit account

8. Deposit account number:

11-0600

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Scott T. Bluni (Reg. 40,196)

Name of Person Signing

Signature

January 7, 1999

Date

Total number of pages comprising cover sheet: 1

OMB No. 0651-0011 (exp. 4/94)

ASSIGNMENT AND AGREEMENT

For value received, we, Srinivas NISHTALA, of 2115 Summerwood Court, Bloomington, IN 47401, Linda ELBERT, of 8211 Lee Paul Road, Bloomington, IN 47404, D.H. Perkins, of 711 Whitethorn Place, Bloomington, IN 47403, Stephane GOBRON, of 1350 West Dittmore Road, Gosport, IN 47433, and Jeffrey SMITH, of Rural Route 1, Box 269A, Poland, IN 47868, hereby sell, assign, and transfer to

SCIMED Life Systems, Inc.

a corporation of the State of Minnesota, having an office at

One SCIMED Place
Maple Grove, Minnesota 55311-1566
United States of America

and its successors, assigns, and legal representatives, a portion of the entire right, title, and interest in and to certain inventions related to **LOW PROFILE RETENTION SYSTEM** described in an application for Letters Patent of the United States, Serial No. 09/177,820, filed October 23, 1998.

The portion of the entire right, title, and interest that we hereby sell, assign, and transfer to

SCIMED Life Systems, Inc.

includes any and all rights and privileges in said inventions in the United States, including, but not limited to, any and all rights and privileges in said application for Letters Patent of the United States (including the entire right, title, and interest in said application) and any and all rights and privileges under any and all Letters Patent or other forms of protection that may be granted in the United States for said inventions.

We request that any and all patents or other forms of protection granted in the United States for said inventions be issued to

SCIMED Life Systems, Inc.

or to such nominees as it may designate.

We agree that, when requested, we shall without charge to

SCIMED Life Systems, Inc.

but at its expense, sign all papers and do all acts that may be necessary, desirable, or convenient in connection with said applications, patents, or other forms of protection.

Date:

12/7/98

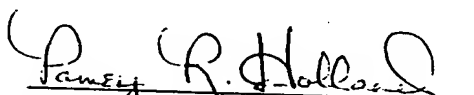

Srinivas NISHTALA

United States of America)

State of Indiana) ss:


County of Owen)

On this 7th day of Dec. 1998, before me personally came Srinivas NISHTALA to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of same.


Notary Public My commission expires: 8/26/2001

Date:

12/29/98

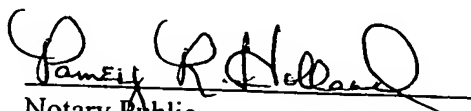

Linda ELBERT

United States of America)

State of Indiana) ss:

County of Owen)

On this 29th day of Dec. 1998, before me personally came Linda ELBERT to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of same.


Notary Public
My commission expires: 8/26/2001

Date: 12/8/98

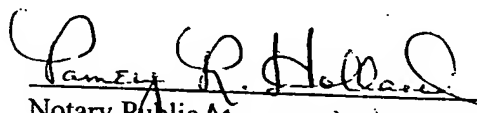
 D. H. PERKINS

United States of America)

State of Indiana) ss.:

County of Owen)

On this 8th day of Dec. 1998 before me personally came **D. H. PERKINS** to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of same.


 Notary Public My commission expires 8/20/2001
Date: 12-07-98

 Stephane GOBRON

United States of America)

State of Indiana) ss.:

County of Owen)

On this 7th day of Dec. 1998 before me personally came **Stephane GOBRON** to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of same.


 Notary Public My commission expires 8/20/2001

10546/51002

Date: 12/07/98

Jeffrey C. Smith
Jeffrey SMITH

United States of America)

State of Indiana) ss.:

County of Owen)

On this 7th day of Dec. 1998 before me personally came **Jeffrey SMITH** to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of same.

Pamela R. Hall
Notary Public My commission expires 8/22/2001

ASSIGNMENT AND AGREEMENT

For value received, we, Srinivas NISHTALA, of 2115 Summerwood Court, Bloomington, IN 47401, Linda ELBERT, of 8211 Lee Paul Road, Bloomington, IN 47404, D.H. Perkins, of 711 Whitethorn Place, Bloomington, IN 47403, Stephane GOBRON, of 1350 West Dittemore Road, Gosport, IN 47433, and Jeffrey SMITH, of Rural Route 1, Box 269A, Poland, IN 47868, hereby sell, assign, and transfer to

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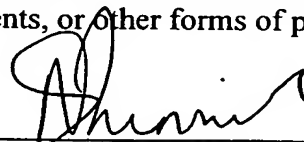
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We agree that, when requested, we shall without charge to

SCIMED Life Systems, Inc.

but at its expense, sign all papers and do all acts that may be necessary, desirable, or convenient in connection with said applications, patents, or other forms of protection.

Date: 12/7/98



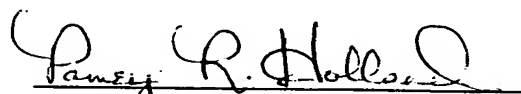
Srinivas NISHTALA

United States of America)

State of Indiana) ss.:

County of Owen)

On this 7th day of Dec. 1998, before me personally came **Srinivas NISHTALA** to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of same.



Notary Public My commission expires: 8/22/2001

Date: _____

Linda ELBERT

United States of America)

State of Indiana) ss.:

County of _____)

On this ____ day of _____ 19__, before me personally came **Linda ELBERT** to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of same.

Notary Public

Date: 12/8/98

D. H. PERKINS

United States of America)

State of Indiana) ss.:

County of Owen)

On this 8th day of Dec. 1998 before me personally came **D. H. PERKINS** to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of same.


 Notary Public My commission expires 8/26/2000
Date: 12-07-98

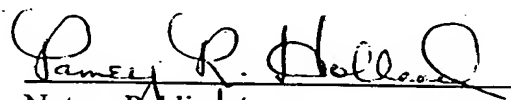
Stephane GOBRON

United States of America)

State of Indiana) ss.:

County of Owen)

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Date: 12/07/98

Jeffrey C. Smith
Jeffrey SMITH

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State of Indiana) ss.:

County of Owen)

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Pamsey R. Hall
Notary Public My commission expires 8/24/2000